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CLERK U.S. DISTRICT COURT DISTRICT OF ARIZONA	
BY 	DEPUTY

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF ARIZONA

United States of America,  
Plaintiff,  
vs.  
David Allen Harbour,  
Defendant.

CR-19-00898-PHX-DLR

**VERDICT FORM**

We, the Jury, find the defendant, David Allen Harbour, as to the charges of the  
Indictment:

**WIRE FRAUD**  
**18 U.S.C. § 1343**  
**COUNTS 1-10**

**Count 1:**

Count	Wire Date (On or About)	Sender	Recipient (or Receiving Bank)	Item Sent
1	07/30/2014	SNI Fund 1/R.T.	Oak Tree Management NT 1790	\$500,000.00

David Allen Harbour ☒ GUILTY ☐ NOT GUILTY

**Count 2:**

Count	Wire Date (On or About)	Sender	Recipient (or Receiving Bank)	Item Sent
2	12/02/2014	M.B.	Pujanza Management, LLC BMO 5244	\$1,000,000.00

David Allen Harbour ☒ GUILTY ☐ NOT GUILTY

**Count 3:**

Count	Wire Date (On or About)	Sender	Recipient (or Receiving Bank)	Item Sent
3	08/11/2015	Green Circle	Oak Tree Management BMO 1964	\$1,100,000.00

David Allen Harbour ☒ GUILTY ☐ NOT GUILTY

**Count 4:**

Count	Wire Date (On or About)	Sender	Recipient (or Receiving Bank)	Item Sent
4	12/31/2015	Harbour Family Pujanza Management BMO x5244	M.B.	\$8,125.00

David Allen Harbour ☐ GUILTY ☒ NOT GUILTY

**Count 5:**

Count	Wire Date (On or About)	Sender	Recipient (or Receiving Bank)	Item Sent
5	04/04/2016	Harbour Family Pujanza Management BMO x5244	M.B.	\$8,125.00

David Allen Harbour \_\_\_\_\_ GUILTY \_\_\_\_\_ ☒ NOT GUILTY

**Count 6:**

Count	Wire Date (On or About)	Sender	Recipient (or Receiving Bank)	Item Sent
6	07/19/2016	Harbour Family Pujanza Management BMO x5244	M.B.	\$8,125.00

David Allen Harbour \_\_\_\_\_ ☒ GUILTY \_\_\_\_\_ NOT GUILTY

**Count 7:**

Count	Wire Date (On or About)	Sender	Recipient (or Receiving Bank)	Item Sent
7	10/17/2016	Harbour Family Pujanza Management BMO x5244	M.B.	\$8,125.00

David Allen Harbour \_\_\_\_\_ ☒ GUILTY \_\_\_\_\_ NOT GUILTY

**Count 8:**

Count	Wire Date (On or About)	Sender	Recipient (or Receiving Bank)	Item Sent
8	01/20/2017	Harbour Family Pujanza Management BMO x5244	M.B.	\$8,125.00

David Allen Harbour ☒ GUILTY ☐ NOT GUILTY

**Count 9:**

Count	Wire Date (On or About)	Sender	Recipient (or Receiving Bank)	Item Sent
9	03/15/2016	GC BMO x9606	R.T./C.I.F.	\$10,942.24

David Allen Harbour ☐ GUILTY ☒ NOT GUILTY

**Count 10:**

Count	Wire Date (On or About)	Sender	Recipient (or Receiving Bank)	Item Sent
10	06/15/2016	GC BMO x9606	R.T./C.I.F.	\$11,062.49

David Allen Harbour ☐ GUILTY ☒ NOT GUILTY

**MAIL FRAUD**  
**18 U.S.C. § 1341**  
**COUNTS 11-12**

**Count 11:**

Count	Payor Account	Check Date	Item Mailed	Amount
11	HighPointe Capital Group, LLC BMO x7406	4/22/2016	Check # 3231 to Liberty Trust Co. FBO: Alison Wilson in the amount of \$7,500.	\$7,500.00

David Allen Harbour \_\_\_\_\_ GUILTY \_\_\_\_\_ ☒ NOT GUILTY

**Count 12:**

Count	Payor Account	Check Date	Item Mailed	Amount
12	HighPointe Capital Group, LLC BMO x7406	5/3/2016	Check # 3242 IRA Fee TC003721 Carol Hill in the amount of \$255.00	\$255.00

David Allen Harbour \_\_\_\_\_ GUILTY \_\_\_\_\_ ☒ NOT GUILTY

**Transactional Money Laundering****18 U.S.C. § 1957****COUNT 13-24****Count 13:**

<b>Count</b>	<b>Date (On or about)</b>	<b>Amount (Approximate)</b>	<b>Financial Institution</b>	<b>Description of Transaction</b>
<b>13</b>	08/05/2014	\$34,665.33	Northern Trust	Check #1238 from DNA Investments Northern Trust x9412 to D.S.

David Allen Harbour ✓ GUILTY            NOT GUILTY**Count 14:**

<b>Count</b>	<b>Date (On or about)</b>	<b>Amount (Approximate)</b>	<b>Financial Institution</b>	<b>Description of Transaction</b>
<b>14</b>	08/08/2014	\$37,500.00	Northern Trust	Transfer from Oak Tree Northern Trust x1790 to DNA Management Northern Trust x3388

David Allen Harbour ✓ GUILTY            NOT GUILTY

**Count 15:**

Count	Date (On or about)	Amount (Approximate)	Financial Institution	Description of Transaction
15	08/08/2014	\$37,500.00	Northern Trust	Transfer from DNA Management NT3388 to HighPointe Capital Group BOA x3354

David Allen Harbour ✓ GUILTY            NOT GUILTY

**Count 16:**

Count	Date (On or about)	Amount (Approximate)	Financial Institution	Description of Transaction
16	08/08/2014	\$37,500.00	Bank of America	Transfer from HighPointe Capital Group BOA x3354 to Herrington Park 1, LLC

David Allen Harbour ✓ GUILTY            NOT GUILTY

**Count 17:**

Count	Date (On or about)	Amount (Approximate)	Financial Institution	Description of Transaction
17	08/21/2014	\$12,083.90	Northern Trust	Check #1542 from 21020 Northern Trust x5180 to J.R.G.

David Allen Harbour ✓ GUILTY            NOT GUILTY

**Count 18:**

<b>Count</b>	<b>Date (On or about)</b>	<b>Amount (Approximate)</b>	<b>Financial Institution</b>	<b>Description of Transaction</b>
<b>18</b>	08/27/2014	\$142,785.88	Northern Trust	ACH Debit Payment from DNA Investments Northern Trust x9412 to Defendant HARBOUR American Express

David Allen Harbour ☒ GUILTY ☐ NOT GUILTY

**Count 19:**

<b>Count</b>	<b>Date (On or about)</b>	<b>Amount (Approximate)</b>	<b>Financial Institution</b>	<b>Description of Transaction</b>
<b>19</b>	08/28/2014	\$13,682.69	Bank of America	Transfer from HighPointe Capital Group BOA x3354 to Employment Edge

David Allen Harbour ☒ GUILTY ☐ NOT GUILTY



**Count 20:**

<b>Count</b>	<b>Date (On or about)</b>	<b>Amount (Approximate)</b>	<b>Financial Institution</b>	<b>Description of Transaction</b>
<b>20</b>	12/04/2014	\$223,121.88	Northern Trust	ACH Debit Payment from DNA Management Northern Trust x3388 to Defendant HARBOUR American Express

David Allen Harbour ✓ GUILTY            NOT GUILTY

**Count 21:**

<b>Count</b>	<b>Date (On or about)</b>	<b>Amount (Approximate)</b>	<b>Financial Institution</b>	<b>Description of Transaction</b>
<b>21</b>	8/12/2015	\$915,000.00	BMO Harris	Oak Tree BMO 1964 to Milagro BMO 5236

David Allen Harbour ✓ GUILTY            NOT GUILTY

**Count 22:**

Count	Date (On or about)	Amount (Approximate)	Financial Institution	Description of Transaction
22	08/13/2015	\$750,000.00	Bank of America	Milagro BMO 5236 to Defendant HARBOUR BOA x8017

David Allen Harbour ☒ GUILTY ☐ NOT GUILTY

**Count 23:**

Count	Date (On or about)	Amount (Approximate)	Financial Institution	Description of Transaction
23	09/02/2015	\$500,000.00	Bank of America	Defendant HARBOUR BOA x8017 to FTC Receiver LEC

David Allen Harbour ☒ GUILTY ☐ NOT GUILTY

March 2, 2023  
DATE

Juror # 6  
FOREPERSON